

TRANSMITTAL LETTER		
Serial No. 09/122,484	Filing Date JULY 24, 1998	Examiner Not Assigned
Inventor TERESA F. LATTER, NANCY A. BOOK, MARY L. HARDZINSKI, JAMES T. MACIEJEWSKI, THOMAS J. McBLAIN and JOHN W. MOSS		Case No. 8285/181 Group Art Unit 2742
Title of Invention METHOD AND SYSTEM FOR PROVIDING ENHANCED CALLER IDENTIFICATION		

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith is a Notice to File Missing Parts; Response to Notice to File Missing Parts (in duplicate); Declaration Combined with Power of Attorney; Information Disclosure Statement; PTO Form 1449; copies of 10 cited references; and

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity

Rate	Add'l Fee
x \$11 =	
x \$40 =	
+ \$130 =	
total add'l fee	\$

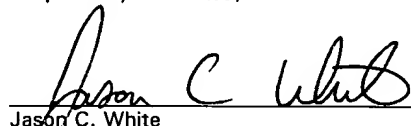
or

Other Than Small Entity

Rate	Add'l Fee
x \$22 =	
x \$80 =	
+ \$260 =	
total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$2,632 to cover the filing fee and surcharge is enclosed.
- ☒ The Assistant Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

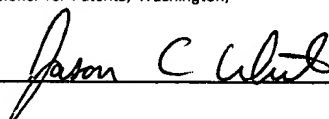
  
 Jason C. White  
 Registration No. 42,223  
 Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610  
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on September 30, 1998.

Date: September 30, 1998

Signature



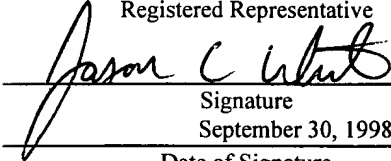


-I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on September 30, 1998

Date of Deposit

Jason C. White, Reg. No. 42,223

Name of applicant, assignee or  
Registered Representative



Signature

September 30, 1998

Date of Signature

Case No. 8285/181

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

LATTER et al.

Serial No.: 09/122,484

Attention: Manager,  
Application Branch

Filed: July 24, 1998

For: METHOD AND SYSTEM FOR  
PROVIDING ENHANCED CALLER  
IDENTIFICATION

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated August 13, 1998, a copy of which is attached, enclosed herewith for filing are the following documents:

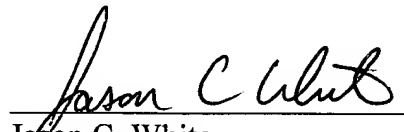


Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

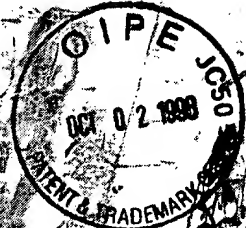
- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Check totaling \$2,632.00 for:
- ☒ Filing Fee of \$2,502.00.
- ☒ Surcharge of \$130.00
- ☐ Assignment Recordal Fee of \$
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☐ Other:
- ☒ The Assistant Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: September 30, 1998

  
Jason C. White  
Registration No. 42,223  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
312/321-4200



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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01/22/98 LATTER

8295/181

0292/0813

BRINKS, HOFER, GILSON & LIONE  
P O BOX 10395  
CHICAGO IL 60610

NOT ASSIGNED

2742

DATE MAILED:

08/13/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing: Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$

☒ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ 1712, including any multiple dependent claim fees, are required.

\$ 738 for 9 independent claims over 3.

\$ 704 for 32 dependent claims over 20.

\$ 270 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

10/07/1998 KNINCH 00000008 09122484

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying the application by the above Application Number and Filing Date, is required.

01 FC:101

02 FC:103

03 FC:106

04 FC:107

05 FC:108

A \$50.00 processing fee was added since your check was returned without payment (37 CFR 1.21(m)).

Your filing receipt was marked in error because your check was returned without payment.

The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202